

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Attorney Docket No.

SP00-154A

Total Pages

2

First Named Inventor or Application Identifier | Badding, Michael

Title : HIGH PERFORMANCE SOLID ELECTROLYTE FUEL CELLS

Express Mail Label No.

EV060836895US

Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on 2/15/02

(Date)

Signature

*Kees van der Sterre*

"EXPRESS MAIL" Mailing Label No. EV060836895US

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

10/077301  
02/15/02

1. ☒ \* Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 30]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. § 113) [Total Sheets 3]
4. ☒ Oath or Declaration [Total Pages 3]
- a. ☒ Executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other:

**16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:**

☐ Continuation ☐ Divisional. ☒ Continuation-in-part (CIP) of prior application No. 09/858,124 filed 5/15/01

Prior application information: Examiner: Group / Art Unit: 1745

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label

22928

or ☐ Correspondence address below

NAME	Kees van der Sterre				
ADDRESS	Corning Incorporated, SP-TI-3-1				
CITY	Corning	STATE	NY	ZIP CODE	14831
COUNTRY	USA	TELEPHONE	974-3294	FAX	(607) 974-3848
Name (Print/Type)	Kees van der Sterre			Registration No. (Attorney/Agent)	25,938
Signature	<i>Kees van der Sterre</i>			Date	February 15, 2002

# FEE TRANSMITTAL for FY 2001

**Complete if Known**

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Badding, Michael
Examiner Name	To Be Assigned
Group / Art Unit	To Be Assigned
Attorney Docket Number	SP00-154A

**TOTAL AMOUNT OF PAYMENT (\$1016.00)**

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 03-3325

Deposit Account Name: Corning Incorporated

☒ Charge Any Additional Fees Required  
Under 37 C.F.R. §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)		

101	740	Utility filing fee	<u>740.00</u>
106	330	Design filing fee	
107	510	Plant filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	

**SUBTOTAL (1) (\$740.00)**

**2. EXTRA CLAIM FEES**

Extra Fee from  
Claims below

Total Claims	26	- 20** = 6	x 18 =	108.00
Independent Claims	5	- 3** = 2	x 84 =	168.00

Multiple Dependent 0 = 0.00

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Fee	Fee	Fee Description
	Code	(\$)	
103	18	Claims in excess of 20	
102	84	Independent claims in excess of 3	
104	280	Multiple dependent claim, if not paid	
109	84	** Reissue independent claims over original patent	
110	18	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2) (\$276.00)**

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity	Fee	Fee	Fee Description	Fee Paid
	Code	(\$)		

105	130	Surcharge - late filing fee or oath
127	50	Surcharge - late provisional filing fee or cover sheet
139	130	Non-English specification
147	2,520	For filing a request for reexamination
112	920*	Requesting publication of SIR prior to Examiner action
113	1,840	Requesting publication of SIR after Examiner action
115	110	Extension for reply within first month
116	390	Extension for reply within second month
117	890	Extension for reply within third month
118	1,390	Extension for reply within fourth month
128	1,890	Extension for reply within fifth month
119	310	Notice of Appeal
120	310	Filing a brief in support of an appeal
121	270	Request for oral hearing
138	1,510	Petition to institute a public use proceeding
140	110	Petition to revive - unavoidable
141	1,240	Petition to revive - unintentional
142	1,240	Utility issue fee (or reissue)
143	440	Design issue fee
144	600	Plant issue fee
122	130	Petitions to the Commissioner
123	50	Petitions related to provisional applications
126	240	Submission of Information Disclosure Stmt
581	40	Recording each patent assignment per property (times number of properties)___x
146	710	Filing a submission after final rejection (37 C.F.R. § 1.129(a))
149	710	For each additional invention to be examined (37 C.F.R. § 1.129(b))

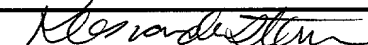
Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$0)**

**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Kees van der Sterre	Registration No. (Attorney/Agent)	25,938
Signature		Date	February 15, 2002